

DPB Compliance Manual

The manual provides:

- General compliance procedures so that everyone in the firm is aware of the key issues
- Help for firms to satisfy the requirements of their Institute's DPB license
- Guidance on referring clients to an IFA
- Help for firms acting as trustee, executor or Power of Attorney for clients
- Guidance on handling corporate finance work

The CD will provide you with standard letters, forms and memoranda covering all aspects of the relationship with the IFA and the client.

The simple, no-nonsense guide to getting it right, all on one CD!

The CD allows you to view the entire contents of the DPB Compliance Manual in Word format. The full document contains links which allow you to skip from the contents page straight to a particular chapter.

Detailed information on how to copy, edit and print these documents can be found in the 'Getting Started' booklet included with the CD.



Costs

£125 plus VAT (£95 plus VAT*)

Updating Costs

You will be automatically notified when updates are made. Updates will be covered by an annual update fee £52.50 (£47.50) invoiced on 1 July each year.

*Mercia members' price.

Member discounts are applicable to firms who pay an annual subscription (based upon the size of their practice) to be a member of a Mercia training group. This does not include firms who buy season tickets. For further information please contact Michelle Hammond on 0116 258 1200.



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